



Payment Card Industry Data Security Standard

Attestation of Compliance for Report on Compliance – Service Providers

Version 4.0

Revision 2

Publication Date: August 2023

PCI DSS v4.0 Attestation of Compliance for Report on Compliance – Service Providers

Entity Name: Alibaba Cloud (Singapore) Private Limited

Assessment End Date: Aug 16 2024

Date of Report as noted in the Report on Compliance: Sep 05 2024

Section 1: Assessment Information

Instructions for Submission

This Attestation of Compliance (AOC) must be completed as a declaration of the results of the service provider's assessment against the *Payment Card Industry Data Security Standard (PCI DSS) Requirements and Testing Procedures* ("Assessment"). Complete all sections. The service provider is responsible for ensuring that each section is completed by the relevant parties, as applicable. Contact the entity(ies) to which this AOC will be submitted for reporting and submission procedures.

This AOC reflects the results documented in an associated Report on Compliance (ROC). Associated ROC sections are noted in each AOC Part/Section below.

Capitalized terms used but not otherwise defined in this document have the meanings set forth in the PCI DSS Report on Compliance Template.

Part 1. Contact Information

Part 1a. Assessed Entity (ROC Section 1.1)

Company name:	Alibaba Cloud (Singapore) Private Limited (Branded as "Alibaba Cloud")
DBA (doing business as):	Alibaba Cloud
Company mailing address:	3F, 51 Bras Basah Road, #04-08 Lazada One, Singapore 189554
Company main website:	https://www.alibabacloud.com
Company contact name:	Shi Zhonghui 施钟慧
Company contact title:	Senior Security Compliance Manager
Contact phone number:	086 18600697529
Contact e-mail address:	<shizhonghui.szh@alibaba-inc.com>

Part 1b. Assessor (ROC Section 1.1)

Provide the following information for all assessors involved in the Assessment. If there was no assessor for a given assessor type, enter Not Applicable.

PCI SSC Internal Security Assessor(s)	
ISA name(s):	N/A
Qualified Security Assessor	
Company name:	BSI Group Japan K.K.
Company mailing address:	OCEAN GATE MINATO MIRAI 3F, 3-7-1 Minatomirai, Nishi-ku, Yokohama-shi, Kanagawa, Japan 220-0012
Company website:	www.bsigroup.com/ja-JP
Lead Assessor name:	Helen Chang 常琳
Assessor phone number:	086 18018550055
Assessor e-mail address:	helen.chang@bsigroup.com

Assessor certificate number: 205-790

Part 2. Executive Summary

Part 2a. Scope Verification

Services that were **INCLUDED** in the scope of the Assessment (select all that apply):

<p>Name of service(s) assessed:</p>	<p>Alibaba Cloud's cloud computing services including:</p> <ol style="list-style-type: none"> 1. Cloud Essentials & Infrastructure Cloud Architect Design Tools, Distributed Cloud Container Platform for Kubernetes, Cloud Control API, Alibaba Cloud DNS PrivateZone, Cloud Enterprise Network, Edge Node Service, Smart Access Gateway, ApsaraMQ for Kafka, Dynamic Content Delivery Network, Fraud Detection, Dedicated Host, WUYING Workspace, Blockchain as a Service, Container Service for Kubernetes, Edge Network Acceleration, Application High Availability Service, IPv6 Gateway, OpenAPI Explorer, Cloud Shell, Elastic Container Instance, Data Security Center, CloudConfig, Apsara File Storage for HDFS, Network Intelligence Service, Managed Service for OpenTelemetry, CloudFlow, Alibaba Cloud Linux, Identity as a Service, PrivateLink, Serverless App Engine, Cloud connector, Cloud migration hub, Microservices Engine, Storage Capacity Unit, Dragonwell, Mobile PaaS, CloudOps Orchestration Service, Elastic Compute Service, Elastic Block Storage, Auto Scaling, Container Compute Service, BatchCompute, Object Storage Service, Apsara File Storage NAS, Tablestore, CDN, Server Guard, Web Application Firewall, Anti-DDoS, Cloud Hardware Security Module (HSM), Managed Security Service, Content Moderation, Certificate Management Service (Original SSL Certificate), Security Center, Crowdsourced Security Testing, Cloud Web Hosting, Enterprise Distributed Application Service, Application Real-Time Monitoring Service, ApsaraMQ, Simple Log Service, API Gateway, Message Service, Cloud Monitor, Resource Orchestration Service, Key Management Service, Resource Access Management, ActionTrail, Server Load Balancer, Express Connect, NAT Gateway, Virtual Private Cloud, Function Compute, VPN Gateway, Elastic IP Address, Apsara Devops, Data Transport, Hybrid Cloud Storage, Cloud Storage Gateway, Bastionhost, Internet Shared Bandwidth, Data Transfer Plan, Global Accelerator, Cloud Firewall, Simple Application Server, Elastic High Performance Computing, Elastic GPU Service, Container Registry, ID Verification, Intelligent Advisor, Cloud Backup, Hybrid Disaster Recovery, ECS Bare Metal Instance, Cloud Hosting, VMware Service, Cloud Data Transfer, TransitRouter, Compute Nest, Quota Center, Managed Service for Grafana, Managed Service for Prometheus, Cloud Governance Center, Service Mesh, Drive and Photo Service, EventBridge, CloudBox, ApsaraMQ for Confluent. 2. Data Management & Services Tair, ApsaraDB RDS, Dataphin, Hologres, GraphCompute, ApsaraDB for OceanBase, ApsaraDB RDS for MySQL, ApsaraDB for Redis, ApsaraDB for MongoDB, AnalyticDB for PostgreSQL, Data Transmission Service, Data Management, Data Integration, DataV, Quick BI, DataWorks, AnalyticDB for MySQL, MaxCompute, Realtime Compute for Apache Flink, PolarDB-X, E-MapReduce, ApsaraDB RDS for SQL Server, ApsaraDB RDS for PostgreSQL, ApsaraDB for Hbase, Lindorm, PolarDB, Elasticsearch, Database Backup, Database Autonomy Service, Data Lake Formation, ApsaraDB for ClickHouse, DataHub, ApsaraDB for MyBase. 3. Artificial Intelligence & Machine Learning Autodrive Cloud Develop Platform, AI Design, Machine Translation, AIRec, Cloud Traffic Control Center, Visual Compute Service, Platform for AI, Intelligent Speech Interaction, Open Search, Smart Conversation Analysis,
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Beebot, Cloud Contact Center, Natural Language Processing, Image search, Optimization Solver, Vector Retrieval Service, Intelligent Computing LINGJUN, Alibaba Cloud Model Studio.
 4. Enterprise Services
 Chat App Message Service, Apsara Video SDK, Intelligent Media Services, Savings Plans, Coupon, Real-Time Communication, Phone Number Verification Service, IoT Edge, Support plan, Trademark, IntelligentVision, CloudQuotation, Domain Names, Alibaba Cloud DNS, ApsaraVideo VOD, ApsaraVideo Media Processing, ApsaraVideo Live, IoT Platform, Short Message Service, Voice Service, Alibaba Mail, IoT Mobile Connection Package, Multiexperience Development Platform EMAS, Innovative swproduct, Energy Expert, Direct Mail, LinkedMall, Cell Phone Number Service.

Type of service(s) assessed:

Hosting Provider:

- Applications / software
- Hardware
- Infrastructure / Network
- Physical space (co-location)
- Storage
- Web-hosting services
- Security services
- 3-D Secure Hosting Provider
- Multi-Tenant Service Provider
- Other Hosting (specify):

Managed Services:

- Systems security services
- IT support
- Physical security
- Terminal Management System
- Other services (specify):

Payment Processing:

- POI / card present
- Internet / e-commerce
- MOTO / Call Center
- ATM
- Other processing (specify):

Account Management

Fraud and Chargeback

Payment Gateway/Switch

Back-Office Services

Issuer Processing

Prepaid Services

Billing Management

Loyalty Programs

Records Management

Clearing and Settlement

Merchant Services

Tax/Government Payments

Network Provider

Others (specify):

Note: These categories are provided for assistance only and are not intended to limit or predetermine an entity's service description. If these categories do not apply to the assessed service, complete "Others." If it is not clear whether a category could apply to the assessed service, consult with the entity(ies) to which this AOC will be submitted.

Part 2. Executive Summary *(continued)*

Part 2a. Scope Verification *(continued)*

Services that are provided by the service provider but were **NOT INCLUDED** in the scope of the Assessment (select all that apply):

Name of service(s) not assessed: Not applicable

Type of service(s) not assessed:

Hosting Provider:

- Applications / software
- Hardware
- Infrastructure / Network
- Physical space (co-location)
- Storage
- Web-hosting services
- Security services
- 3-D Secure Hosting Provider
- Multi-Tenant Service Provider
- Other Hosting (specify):

Managed Services:

- Systems security services
- IT support
- Physical security
- Terminal Management System
- Other services (specify):

Payment Processing:

- POI / card present
- Internet / e-commerce
- MOTO / Call Center
- ATM
- Other processing (specify):

Account Management

Fraud and Chargeback

Payment Gateway/Switch

Back-Office Services

Issuer Processing

Prepaid Services

Billing Management

Loyalty Programs

Records Management

Clearing and Settlement

Merchant Services

Tax/Government Payments

Network Provider

Others (specify):

Provide a brief explanation why any checked services were not included in the Assessment:

Part 2b. Description of Role with Payment Cards (ROC Section 2.1)

Describe how the business stores, processes, and/or transmits account data.

Alibaba Cloud (Singapore) Private Limited, also known as "Alibaba Cloud", is an independent cloud computing service provider. Alibaba Cloud provides various cloud computing environments (such as Public Cloud China Services, Public Cloud International Services, Fincloud, etc.) for the clients. Public Cloud International Services provides the capability for clients utilizing Public Cloud International Services' processing capacity, storage, networks, and other fundamental computing resources. The type of services including Infrastructure as a Service (IaaS), Platform-as-a-Service (PaaS), and

	<p>Software-as-a-Service (SaaS). The clients may deploy and run operating systems, applications and other software on its cloud infrastructure or using the PaaS/SaaS platforms maintain by Alibaba Cloud.</p> <p>Public Cloud International Services offers computing resources for various clients (including merchants, payment service provider, payment processor, etc.). The security of cloud infrastructure and related security mechanisms are covered in this PCI DSS assessment. Based on business decision from Alibaba Cloud, other cloud environments (such as Public Cloud China Services, Fincloud, etc.) are not covered in this PCI DSS assessment.</p> <p>No cardholder data and sensitive authentication data are stored in the Alibaba Cloud environment. Please note that the cardholder data environments of Alibaba Cloud’s clients and their system components are not covered in this PCI DSS assessment.</p>
<p>Describe how the business is otherwise involved in or has the ability to impact the security of its customers’ account data.</p>	<p>All Public Cloud International Services system components are hardened according to the same security baseline and managed by the same vulnerability and patch management policies. The cloud services which are not included in the scope of this QSA assessment are put in different zone of the clusters and separated via strict access controls. The access controls are enforced by the core switch within Public Cloud International Services production environment. Alibaba Cloud offerings are designed to meet their security, privacy, and compliance requirements of relevant industry standards and best practices. Alibaba Cloud physical infrastructure is managed by themselves.</p>
<p>Describe system components that could impact the security of account data.</p>	<p>As a cloud product and services provider, Alibaba Cloud does not transmit, process, or store account data. They doesn’t directly store, process, or transmit account data in providing this services; However, their tenant customers have the ability to operate e-commerce, and financial systems within their allocated resources. Due to the possibility that account data could flow through the Alibaba Cloud managed infrastructure and cloud environment including servers, network devices and softwares etc.</p>

Part 2. Executive Summary *(continued)*

Part 2c. Description of Payment Card Environment

Provide a high-level description of the environment covered by this Assessment.

For example:

- *Connections into and out of the cardholder data environment (CDE).*
- *Critical system components within the CDE, such as POI devices, databases, web servers, etc., and any other necessary payment components, as applicable.*
- *System components that could impact the security of account data.*

Connections into and out of the CDE include web cloud portal, VPN and API gateway. Critical system components within the CDE include router, WAF, switch, host intrusion detection, DDoS traffic cleaning, server, database, virtualization management, log management, cloud operation management, etc. No cardholder data is saved locally.

Indicate whether the environment includes segmentation to reduce the scope of the Assessment.

(Refer to the “Segmentation” section of PCI DSS for guidance on segmentation)

Yes No

Part 2d. In-Scope Locations/Facilities (ROC Section 4.6)

List all types of physical locations/facilities (for example, corporate offices, data centers, call centers and mail rooms) in scope for this Assessment.

Facility Type	Total Number of Locations (How many locations of this type are in scope)	Location(s) of Facility (city, country)
<i>Example: Data centers</i>	3	<i>Boston, MA, USA</i>
Data centers	29	Cloud Data Centers of Public Cloud International Services: Available Zone Hong Kong Zone B Hong Kong Zone C Hong Kong Zone D Asia Pacific SE 1 (Singapore) Zone A Asia Pacific SE 1 (Singapore) Zone B Asia Pacific SE 1 (Singapore) Zone C Asia Pacific SE 3 (Kuala Lumpur) Zone A Asia Pacific SE 3 (Kuala Lumpur) Zone B Asia Pacific SE 5 (Jakarta) Zone A Asia Pacific SE 5 (Jakarta) Zone B Asia Pacific SE 5 (Jakarta) Zone C Asia Pacific SE 6 (Manila) Zone A Asia Pacific SE 7 (Bangkok) Zone A Asia Pacific SOU 1 (Mumbai) Zone A Asia Pacific SOU 1 (Mumbai) Zone B

		Asia Pacific NE1 (Tokyo) Zone A Asia Pacific NE1 (Tokyo) Zone B Asia Pacific NE1 (Tokyo) Zone C Asia Pacific NE 2 (Seoul) Zone A EU Central 1 (Frankfurt) Zone A EU Central 1 (Frankfurt) Zone B EU Central 1 (Frankfurt) Zone C UK London Zone A UK London Zone B US West 1 (Silicon Valley) Zone A US West 1 (Silicon Valley) Zone B US East 1 (Virginia) Zone A US East 1 (Virginia) Zone B Middle East 1 (Dubai) Zone A
Offices	2	Singapore China (Hangzhou)

Part 2. Executive Summary *(continued)*

Part 2e. PCI SSC Validated Products and Solutions (ROC Section 3.3)

Does the entity use any item identified on any PCI SSC Lists of Validated Products and Solutions*?

Yes No

Provide the following information regarding each item the entity uses from PCI SSC's Lists of Validated Products and Solutions:

Name of PCI SSC-validated Product or Solution	Version of Product or Solution	PCI SSC Standard to which Product or Solution Was Validated	PCI SSC Listing Reference Number	Expiry Date of Listing
				YYYY-MM-DD
				YYYY-MM-DD
				YYYY-MM-DD
				YYYY-MM-DD
				YYYY-MM-DD
				YYYY-MM-DD

* For purposes of this document, "Lists of Validated Products and Solutions" means the lists of validated products, solutions, and/or components appearing on the PCI SSC website (www.pcisecuritystandards.org)—for example, 3DS Software Development Kits, Approved PTS Devices, Validated Payment Software, Payment Applications (PA-DSS), Point to Point Encryption (P2PE) solutions, Software-Based PIN Entry on COTS (SPoC) solutions, and Contactless Payments on COTS (CPoC) solutions.

Part 2. Executive Summary *(continued)*

Part 2g. Summary of Assessment (ROC Section 1.8.1)

Indicate below all responses provided within each principal PCI DSS requirement.

For all requirements identified as either “Not Applicable” or “Not Tested,” complete the “Justification for Approach” table below.

Note: One table to be completed for each service covered by this AOC. Additional copies of this section are available on the PCI SSC website.

Name of Service Assessed: Alibaba Cloud’s cloud computing services including:

1. Cloud Essentials & Infrastructure

Cloud Architect Design Tools, Distributed Cloud Container Platform for Kubernetes, Cloud Control API, Alibaba Cloud DNS PrivateZone, Cloud Enterprise Network, Edge Node Service, Smart Access Gateway, ApsaraMQ for Kafka, Dynamic Content Delivery Network, Fraud Detection, Dedicated Host, WUYING Workspace, Blockchain as a Service, Container Service for Kubernetes, Edge Network Acceleration, Application High Availability Service, IPv6 Gateway, OpenAPI Explorer, Cloud Shell, Elastic Container Instance, Data Security Center, CloudConfig, Apsara File Storage for HDFS, Network Intelligence Service, Managed Service for OpenTelemetry, CloudFlow, Alibaba Cloud Linux, Identity as a Service, PrivateLink, Serverless App Engine, Cloud connector, Cloud migration hub, Microservices Engine, Storage Capacity Unit, Dragonwell, Mobile PaaS, CloudOps Orchestration Service, Elastic Compute Service, Elastic Block Storage, Auto Scaling, Container Compute Service, BatchCompute, Object Storage Service, Apsara File Storage NAS, Tablestore, CDN, Server Guard, Web Application Firewall, Anti-DDoS, Cloud Hardware Security Module (HSM), Managed Security Service, Content Moderation, Certificate Management Service (Original SSL Certificate), Security Center, Crowdsourced Security Testing, Cloud Web Hosting, Enterprise Distributed Application Service, Application Real-Time Monitoring Service, ApsaraMQ, Simple Log Service, API Gateway, Message Service, Cloud Monitor, Resource Orchestration Service, Key Management Service, Resource Access Management, ActionTrail, Server Load Balancer, Express Connect, NAT Gateway, Virtual Private Cloud, Function Compute, VPN Gateway, Elastic IP Address, Apsara Devops, Data Transport, Hybrid Cloud Storage, Cloud Storage Gateway, Bastionhost, Internet Shared Bandwidth, Data Transfer Plan, Global Accelerator, Cloud Firewall, Simple Application Server, Elastic High Performance Computing, Elastic GPU Service, Container Registry, ID Verification, Intelligent Advisor, Cloud Backup, Hybrid Disaster Recovery, ECS Bare Metal Instance, Cloud Hosting, VMware Service, Cloud Data Transfer, TransitRouter, Compute Nest, Quota Center, Managed Service for Grafana, Managed Service for Prometheus, Cloud Governance Center, Service Mesh, Drive and Photo Service, EventBridge, CloudBox, ApsaraMQ for Confluent.

2. Data Management & Services

Tair, ApsaraDB RDS, Dataphin, Hologres, GraphCompute, ApsaraDB for OceanBase, ApsaraDB RDS for MySQL, ApsaraDB for Redis, ApsaraDB for MongoDB, AnalyticDB for PostgreSQL, Data Transmission Service, Data Management, Data Integration, DataV, Quick BI, DataWorks, AnalyticDB for MySQL, MaxCompute, Realtime Compute for Apache Flink, PolarDB-X, E-MapReduce, ApsaraDB RDS for SQL Server, ApsaraDB RDS for PostgreSQL, ApsaraDB for Hbase, Lindorm, PolarDB, Elasticsearch, Database Backup, Database Autonomy Service, Data Lake Formation, ApsaraDB for ClickHouse, DataHub, ApsaraDB for MyBase.

3. Artificial Intelligence & Machine Learning

Autodrive Cloud Develop Platform, AI Design, Machine Translation, AIRec, Cloud Traffic Control Center, Visual Compute Service, Platform for AI, Intelligent Speech Interaction, Open Search, Smart Conversation Analysis, Beebot, Cloud Contact Center, Natural Language Processing, Image search, Optimization Solver, Vector Retrieval Service, Intelligent Computing LINGJUN, Alibaba Cloud Model Studio.

4. Enterprise Services

Chat App Message Service, Apsara Video SDK, Intelligent Media Services, Savings Plans, Coupon, Real-Time Communication, Phone Number Verification Service, IoT Edge, Support plan, Trademark, IntelligentVision, CloudQuotation, Domain Names, Alibaba Cloud DNS, ApsaraVideo VOD, ApsaraVideo Media Processing, ApsaraVideo Live, IoT Platform, Short Message Service, Voice Service, Alibaba Mail, IoT Mobile Connection Package, Multiexperience Development Platform EMAS, Innovative swproduct, Energy Expert, Direct Mail, LinkedMall, Cell Phone Number Service.

PCI DSS Requirement	Requirement Finding More than one response may be selected for a given requirement. Indicate all responses that apply.				Select If Below Method(s) Was Used	
	In Place	Not Applicable	Not Tested	Not in Place	Customized Approach	Compensating Controls
Requirement 1:	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Requirement 2:	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Requirement 3:	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Requirement 4:	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Requirement 5:	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Requirement 6:	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Requirement 7:	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Requirement 8:	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Requirement 9:	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Requirement 10:	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Requirement 11:	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Requirement 12:	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Appendix A1:	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Appendix A2:	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Justification for Approach

<p>For any Not Applicable responses, identify which sub-requirements were not applicable and the reason.</p>	<p> Req. 1.2.6 Insecure services, protocols, and ports are not permitted to use Req. 1.3.3 Based on network diagram and wireless scan report, no wireless network connected to the PCI DSS scope under review Req.1.4.4 No stored cardholder data Req. 2.2.5 No insecure services, protocols, or daemons are present Req.2.3 No wireless environment in scope Req. 3 No stored account data Req. 4 No cardholder data Req.6.3.2 Best Practice until 31 March 2025 Req.6.4.3 No cardholder data Req. 6.5.2 No significant changes occurred within the past 12 months Req. 6.5.5 No cardholder data Req.7.2.6 No cardholder data Req.8.2.3 No remote access to customer premises Req.8.2.7 No third parties remote access allowed Req.8.5 ,8.6 Best Practice until 31 march 2025 Req.9.4.1-6 No media containing cardholder data Req .9.5 No POI devices in Scope Req.10.4.2.1 Best Practice until 31 March 2025 Req.11.2.1 not use wireless Req.11.2.2 Best Practice until 31 March 2025, Req. 11.3.1.2 Best Practice until 31 March 2025 Req.11.3.1.3 ,11.3.2.1 No significant changes occurred within the past 12 months Req.11.4.7,11.6.1Best Practice until 31 March 2025 Req.12.3.2 No customized approach in use Req.12.5.2.1,12.10.7,A1.1.4 Best Practice until 31 March 2025 Req.A.2 No POS POI </p>
<p>For any Not Tested responses, identify which sub-requirements were not tested and the reason.</p>	<p>N/A</p>

Section 2 Report on Compliance

(ROC Sections 1.2 and 1.3.2)

Date Assessment began: <i>Note: This is the first date that evidence was gathered, or observations were made.</i>		2024-07-24
Date Assessment ended: <i>Note: This is the last date that evidence was gathered, or observations were made.</i>		2024-08-16
Were any requirements in the ROC unable to be met due to a legal constraint?		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
Were any testing activities performed remotely? If yes, for each testing activity below, indicate whether remote assessment activities were performed:		<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
• Examine documentation	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
• Interview personnel	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
• Examine/observe live data	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
• Observe process being performed	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
• Observe physical environment	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
• Interactive testing	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
• Other:	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No

Section 3 Validation and Attestation Details

Part 3. PCI DSS Validation (ROC Section 1.7)

This AOC is based on results noted in the ROC dated *(Date of Report as noted in the ROC YYYY-MM-DD)*.

Indicate below whether a full or partial PCI DSS assessment was completed:

- Full Assessment** – All requirements have been assessed and therefore no requirements were marked as Not Tested in the ROC.
- Partial Assessment** – One or more requirements have not been assessed and were therefore marked as Not Tested in the ROC. Any requirement not assessed is noted as Not Tested in Part 2g above.

Based on the results documented in the ROC noted above, each signatory identified in any of Parts 3b-3d, as applicable, assert(s) the following compliance status for the entity identified in Part 2 of this document *(select one)*:

Compliant: All sections of the PCI DSS ROC are complete, and all assessed requirements are marked as being either In Place or Not Applicable, resulting in an overall **COMPLIANT** rating; thereby Alibaba Cloud (Singapore) Private Limited has demonstrated compliance with all PCI DSS requirements except those noted as Not Tested above.

Non-Compliant: Not all sections of the PCI DSS ROC are complete, or one or more requirements are marked as Not in Place, resulting in an overall **NON-COMPLIANT** rating; thereby *(Service Provider Company Name)* has not demonstrated compliance with PCI DSS requirements.

Target Date for Compliance: YYYY-MM-DD

An entity submitting this form with a Non-Compliant status may be required to complete the Action Plan in Part 4 of this document. Confirm with the entity to which this AOC will be submitted before completing Part 4.

Compliant but with Legal exception: One or more assessed requirements in the ROC are marked as Not in Place due to a legal restriction that prevents the requirement from being met and all other assessed requirements are marked as being either In Place or Not Applicable, resulting in an overall **COMPLIANT BUT WITH LEGAL EXCEPTION** rating; thereby *(Service Provider Company Name)* has demonstrated compliance with all PCI DSS requirements except those noted as Not Tested above or as Not in Place due to a legal restriction.

This option requires additional review from the entity to which this AOC will be submitted.

If selected, complete the following:

Affected Requirement	Details of how legal constraint prevents requirement from being met

Part 3. PCI DSS Validation *(continued)*

Part 3a. Service Provider Acknowledgement

Signatory(s) confirms:

(Select all that apply)

<input checked="" type="checkbox"/>	The ROC was completed according to <i>PCI DSS</i> , Version 4.0 and was completed according to the instructions therein.
<input checked="" type="checkbox"/>	All information within the above-referenced ROC and in this attestation fairly represents the results of the Assessment in all material respects.
<input checked="" type="checkbox"/>	PCI DSS controls will be maintained at all times, as applicable to the entity's environment.

Part 3b. Service Provider Attestation

RICHARD WANG

Signature of Service Provider Executive Officer ↑	Date: 2024-09-05
Service Provider Executive Officer Name:	Title: Head of Security Compliance

Part 3c. Qualified Security Assessor (QSA) Acknowledgement

If a QSA was involved or assisted with this Assessment, indicate the role performed:

QSA performed testing procedures.

QSA provided other assistance.

If selected, describe all role(s) performed:

Helen Chang

Signature of Lead QSA ↑	Date: 2024-09-05
Lead QSA Name: Helen Chang	

Yumiko Moritake

Signature of Duly Authorized Officer of QSA Company ↑	Date: 2024-09-05
Duly Authorized Officer Name: Yumiko Moritake	QSA Company: BSI Group Japan K.K.

Part 3d. PCI SSC Internal Security Assessor (ISA) Involvement

If an ISA(s) was involved or assisted with this Assessment, indicate the role performed:

ISA(s) performed testing procedures.

ISA(s) provided other assistance.

If selected, describe all role(s) performed:

Part 4. Action Plan for Non-Compliant Requirements

Only complete Part 4 upon request of the entity to which this AOC will be submitted, and only if the Assessment has Non-Compliant results noted in Section 3.

If asked to complete this section, select the appropriate response for “Compliant to PCI DSS Requirements” for each requirement below. For any “No” responses, include the date the entity expects to be compliant with the requirement and provide a brief description of the actions being taken to meet the requirement.

PCI DSS Requirement	Description of Requirement	Compliant to PCI DSS Requirements (Select One)		Remediation Date and Actions (If “NO” selected for any Requirement)
		YES	NO	
1	Install and maintain network security controls	<input type="checkbox"/>	<input type="checkbox"/>	
2	Apply secure configurations to all system components	<input type="checkbox"/>	<input type="checkbox"/>	
3	Protect stored account data	<input type="checkbox"/>	<input type="checkbox"/>	
4	Protect cardholder data with strong cryptography during transmission over open, public networks	<input type="checkbox"/>	<input type="checkbox"/>	
5	Protect all systems and networks from malicious software	<input type="checkbox"/>	<input type="checkbox"/>	
6	Develop and maintain secure systems and software	<input type="checkbox"/>	<input type="checkbox"/>	
7	Restrict access to system components and cardholder data by business need to know	<input type="checkbox"/>	<input type="checkbox"/>	
8	Identify users and authenticate access to system components	<input type="checkbox"/>	<input type="checkbox"/>	
9	Restrict physical access to cardholder data	<input type="checkbox"/>	<input type="checkbox"/>	
10	Log and monitor all access to system components and cardholder data	<input type="checkbox"/>	<input type="checkbox"/>	
11	Test security systems and networks regularly	<input type="checkbox"/>	<input type="checkbox"/>	
12	Support information security with organizational policies and programs	<input type="checkbox"/>	<input type="checkbox"/>	
Appendix A1	Additional PCI DSS Requirements for Multi-Tenant Service Providers	<input type="checkbox"/>	<input type="checkbox"/>	
Appendix A2	Additional PCI DSS Requirements for Entities using SSL/early TLS for Card-Present POS POI Terminal Connections	<input type="checkbox"/>	<input type="checkbox"/>	

